The Common Controls Advantage: Scaling Compliance Across Requirements and Frameworks

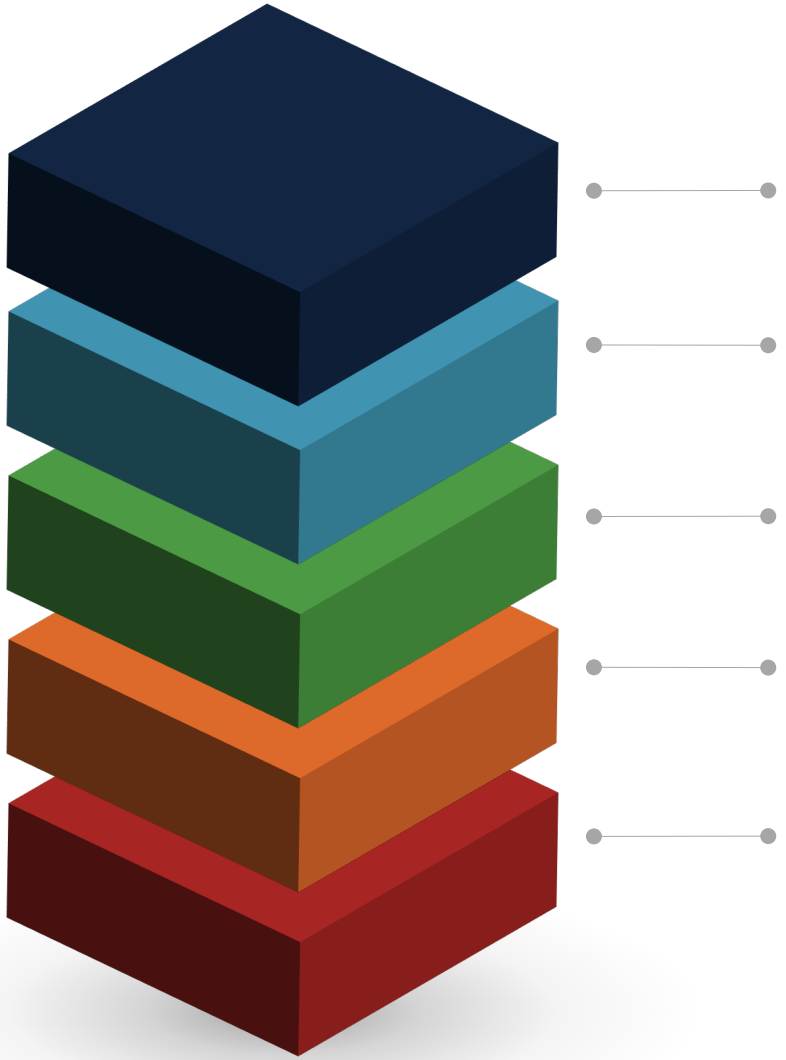


Beth Bramlett

AVP, Operational Risk Management | Voya Financial

2026 KONnect

Why This Matters



Regulations

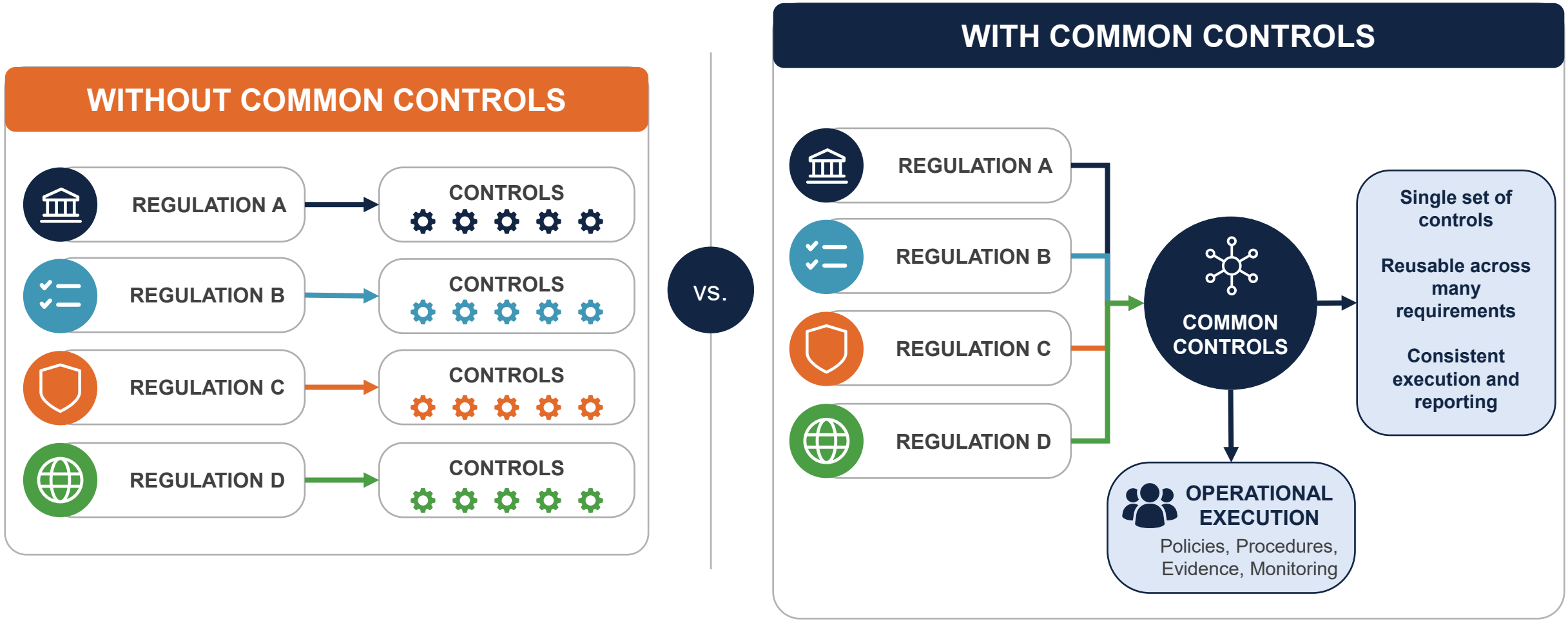
Standards

Frameworks

Customer requirements

AI governance requirements

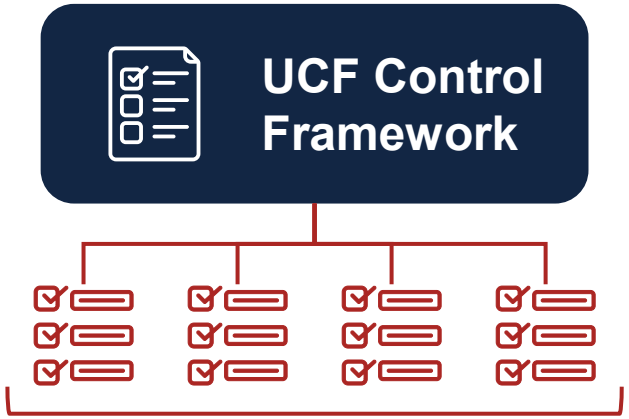
The Traditional Approach Create Duplication



Framework vs Reality

TOO DETAILED

More prescriptive than practical

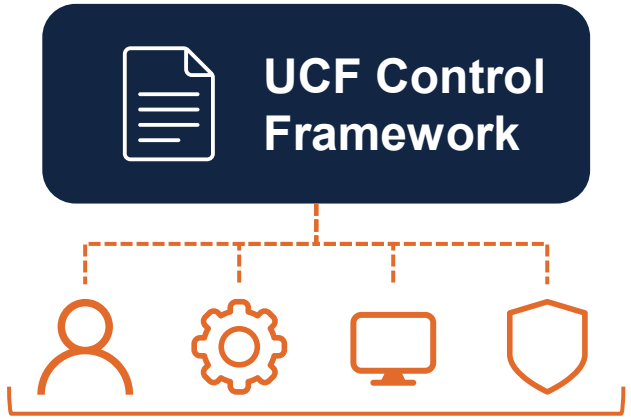


Internal Controls
(too many requirements)

Framework control is much more detailed than what was practical to implement

TOO HIGH LEVEL

Too broad to apply directly



Internal Controls
(too many requirements)

Framework control is much more detailed than what was practical to implement

RIGHT SIZED

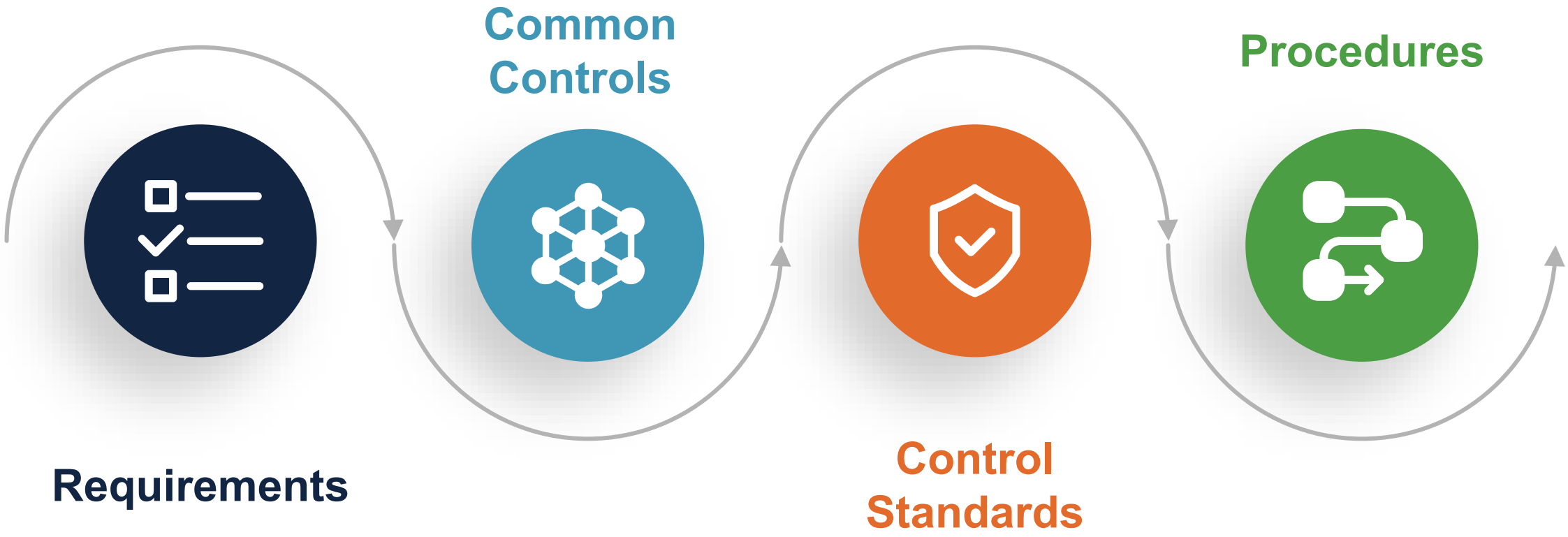
More prescriptive than practical



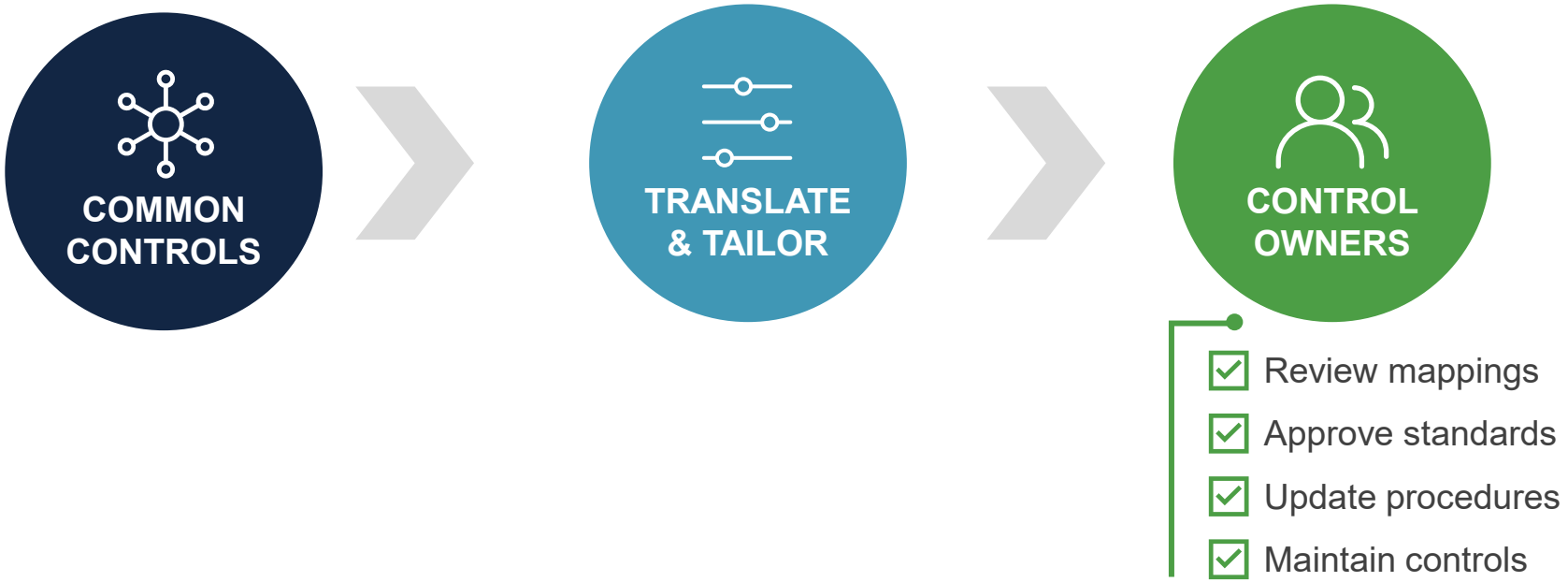
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Building the Common Controls Foundation

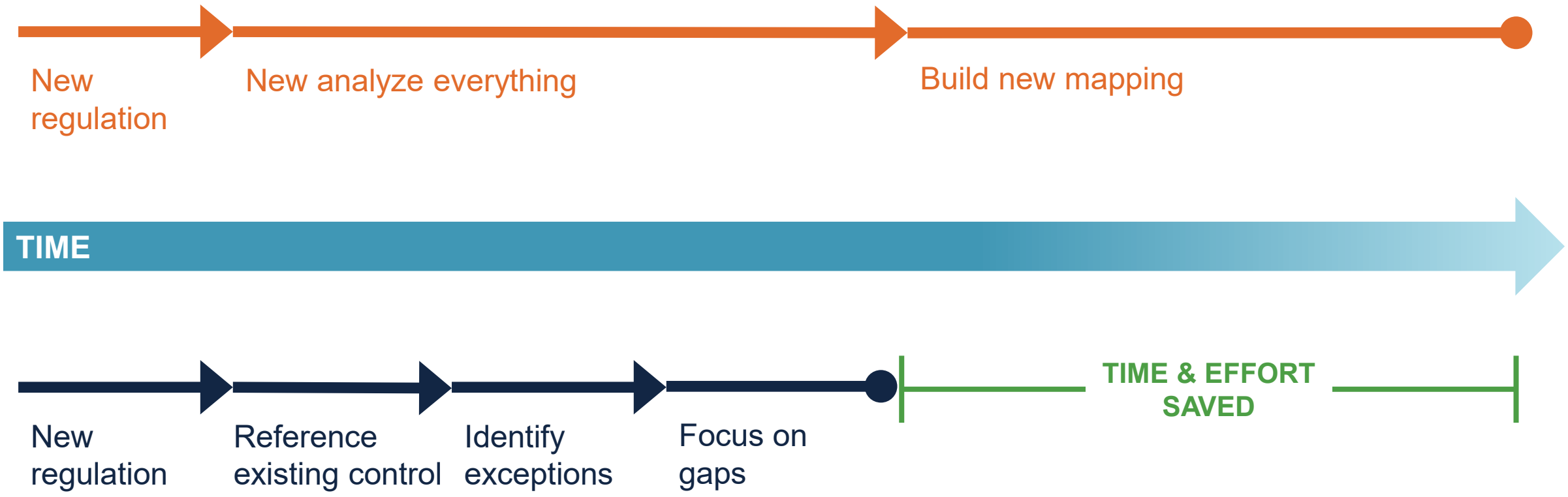


Mapping was step 1

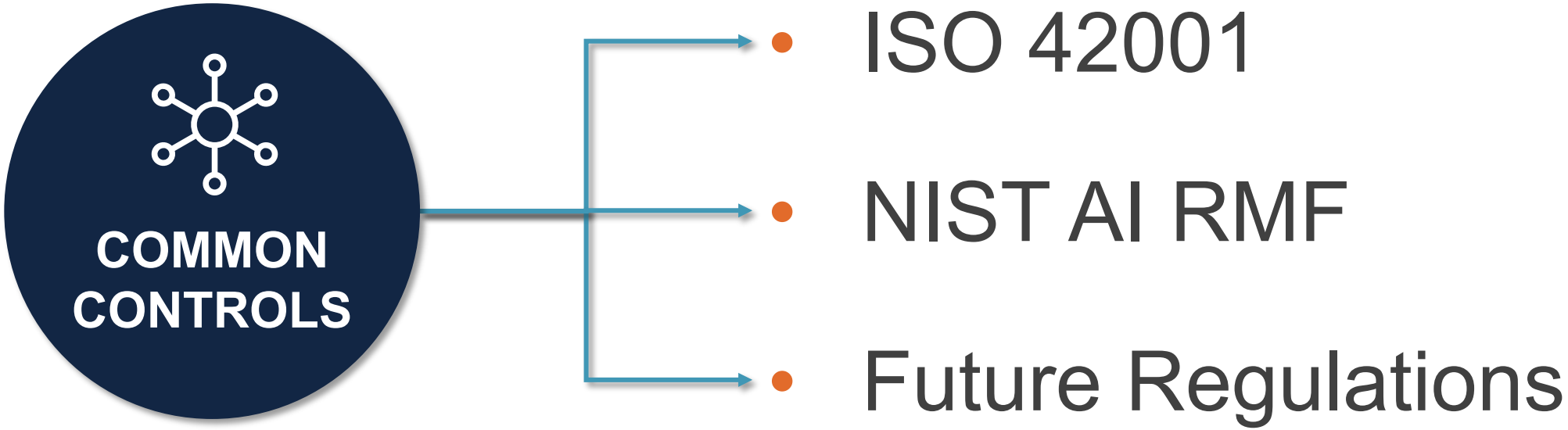


The value came from making requirements understandable to the people responsible for designing and operating the related controls gaining their buy-in.

The Payoff


New regulation
New analyze everything
Build new mapping
TIME
New regulation
Reference existing control
Identify exceptions
Focus on gaps
TIME & EFFORT SAVED

Preparing for What's Next



Where AI Fits Today

AI can:

- Suggest mappings
- Surface matches
- Show confidence scores
- Accelerate analysis

Humans must:

- Validate context
- Resolve exceptions
- Make final decisions

Advice for Getting Started

Start With Structure

Don't map requirements individually.

Accept Imperfection

Frameworks won't align perfectly.

Build for Reuse

Future regulations become easier.



The goal isn't to manage every requirement individually.

It's to build a structure that lets your compliance program scale as regulations evolve.

QUESTIONS

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CONNECT WITH ME

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THANK YOU
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